

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 32213M035
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>10/505324</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP03/02122</b>	INTERNATIONAL FILING DATE <b>February 26, 2003</b>	PRIORITY DATE CLAIMED <b>February 26, 2002</b>
TITLE OF INVENTION	<b>DISK DRIVE DEVICE</b>	
APPLICANT(S) FOR DO/EO/US	<b>Maki Wakita, et al.</b>	
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau (<u>see Form PCT/IB/308</u>)</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371).             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 34 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A FIRST preliminary amendment.             <ol style="list-style-type: none"> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> </ol> </li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>Cover Sheet of PCT Publication WO 03/077251 with International Search Report (PCT/ISA/210)</li> <li>Form PCT/IB/308</li> </ol> </li> </ol>		

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32213M035TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known, see  
37 CFR 1.5)17. ☐ The following fees are submitted:

CALCULATIONS PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**  
 Search Report has been prepared by the EPO or JPO ..... \$920.00

International preliminary examination fee paid to USPTO  
 (37 CFR 1.482) ..... \$730.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee  
 paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 1,080.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest  
claimed priority date (37 CFR 1.495(e)).

\$ —

Claims	Number Filed	Number Extra	Rate		
Total Claims	35 - 20 =	15	x \$18.00	\$ 270.00	
Independent Claims	3 - 3 =	0	x \$84.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$290.00	\$ 290.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,480.00	
Reduction by 2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$1,480.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	—
TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	\$ 40.00
TOTAL FEES ENCLOSED =					\$1,520.00
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$1,520.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4300.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**  
 SMITH, GAMBRELL & RUSSELL, LLP  
 1850 M Street, N.W., Suite 800  
 Washington, D.C. 20036  
 Telephone: (202) 659-2811  
 Facsimile: (202) 263-4329



SIGNATURE

Michael A. Makuch, Reg. No. 32,263  
 NAME REGISTRATION NO.  
 Date: August 23, 2004